



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2020/2021**

**Adopted April 16, 2020
Amendment #1 August 27, 2020
Amendment #2 December 3, 2020
Amendment #3 February 25, 2021
Amendment #4 April 22, 2021**

CHAIR

Councilmember Sol Jobrack, City of Stockton

VICE-CHAIR

Councilmember Leo Zuber, City of Ripon

BOARD OF DIRECTORS

Councilmember Doug Kuehne	City of Lodi
Mayor Sonny Dhaliwal	City of Lathrop
Councilmember Gary Singh	City of Manteca
Vice Mayor Dan Wright	City of Stockton
Councilmember Christina Fugazi	City of Stockton
Supervisor Miguel Villapudua	County of San Joaquin
Supervisor Robert Rickman	County of San Joaquin
Supervisor Tom Patti	County of San Joaquin
Mayor Nancy Young	City of Tracy
Councilmember David Bellinger	City of Escalon

EX OFFICIO DIRECTORS

Dennis Agar, Director	Caltrans District 10
Gary Giovanetti, Director	San Joaquin Regional Transit District
Anthony Barkett	Port of Stockton

SUBMITTED BY:

Diane Nguyen
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	FY 2020-21 Amendment #3	FY 2020-21 Amendment #4	+/- Change	+/- % Change
Federal Grants	\$ 5,019,336	\$ 5,019,336	\$ 5,389,414	\$ 6,106,371	\$ 6,009,750	\$ (96,621)	-1.58%
State Grants	\$ 3,023,089	\$ 3,216,733	\$ 3,236,733	\$ 5,624,911	\$ 5,641,511	\$ 16,600	0.30%
Local	\$ 5,602,069	\$ 5,690,716	\$ 5,669,527	\$ 5,669,527	\$ 5,669,527	\$ -	0.00%
Interest	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ -	0.00%
Other	\$ 29,000	\$ 29,000	\$ 29,000	\$ 29,000	\$ 60,000	\$ 31,000	106.90%
SICOG OPERATING REVENUE	\$ 13,693,494	13,975,784.70	14,344,673.80	17,449,808.80	17,400,787.80	(49,021)	-0.28%
EXPENDITURES							
Salaries & Benefits	\$ 4,641,882	4,641,882	4,641,882	4,641,882	4,641,882	\$ -	0.00%
Services & Supplies	\$ 1,207,300	1,207,300	1,154,800	1,154,800	1,154,800	\$ -	0.00%
Office Expense	\$ 242,500	242,500	270,000	270,000	270,000	\$ -	0.00%
Communications	\$ 60,000	60,000	60,000	60,000	60,000	\$ -	0.00%
Memberships	\$ 45,000	45,000	45,000	45,000	45,000	\$ -	0.00%
Maintenance - Equipment	\$ 10,000	10,000	10,000	10,000	10,000	\$ -	0.00%
Rents & Leases - Equipment	\$ 150,000	150,000	150,000	150,000	150,000	\$ -	0.00%
Transportation, Travel & Training (In & Out of State)	\$ 121,000	121,000	66,000	66,000	66,000	\$ -	0.00%
Publications & Legal Notices	\$ 7,500	7,500	7,500	7,500	7,500	\$ -	0.00%
Insurance	\$ 146,300	146,300	146,300	146,300	146,300	\$ -	0.00%
Building Operations & Maintenance	\$ 225,000	225,000	200,000	200,000	200,000	\$ -	0.00%
SICOG Building Debt Service Principal and Interest	\$ 200,000	200,000	200,000	200,000	200,000	\$ -	0.00%
Professional Services	\$ 7,648,812	7,931,103	8,352,492	11,457,627	11,408,606	\$ (49,021)	-0.43%
Capital Outlay	\$ 195,500	195,500	195,500	195,500	195,500	\$ -	0.00%
Unallocated/Reserve							
SICOG OPERATING EXPENDITURES	\$ 13,693,494	13,975,784.70	14,344,673.80	17,449,808.80	17,400,787.80	(49,021.00)	-0.28%

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2020-21	FY 2020-21	FY 2020-21	FY 2020-21	FY 2020-21	+/- Change
		Adopted 4/16/20	Amendment #1	Amendment #2	Amendment #3	Amendment #4	
Office Expense - General	General Supplies	\$ 55,000	\$ 55,000	\$ 40,000	\$ 40,000	\$ 40,000	\$ -
	Recognitions	\$ 2,000	\$ 2,000	\$ 500	\$ 500	\$ 500	\$ -
	Printing	\$ 10,000	\$ 10,000	\$ 4,000	\$ 4,000	\$ 4,000	\$ -
	Noncapital Equip/Furniture	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 140,000	\$ 140,000	\$ 190,000	\$ 190,000	\$ 190,000	\$ -
Office Expense - General Subtotal		\$ 227,000	\$ 227,000	\$ 254,500	\$ 254,500	\$ 254,500	\$ -
Office Expense - Postage Subtotal		\$ 7,500	\$ 7,500	\$ 7,500	\$ 7,500	\$ 7,500	\$ -
Office Expense - Subscriptions Subtotal		\$ 8,000	\$ 8,000	\$ 8,000	\$ 8,000	\$ 8,000	\$ -
Office Expense - Subtotal		\$ 242,500	\$ 242,500	\$ 270,000	\$ 270,000	\$ 270,000	\$ -
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ -
Memberships - Subtotal		\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ -
Maintenance - Equipment - Subtotal		\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
Rents & Leases - Equipment - Subtotal		\$ 150,000	\$ 150,000	\$ 150,000	\$ 150,000	\$ 150,000	\$ -
Publications & Legal Notices - Subtotal		\$ 7,500	\$ 7,500	\$ 7,500	\$ 7,500	\$ 7,500	\$ -
Insurances - Subtotal		\$ 146,300	\$ 146,300	\$ 146,300	\$ 146,300	\$ 146,300	\$ -
Building Maintenance - Subtotal		\$ 225,000	\$ 225,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
BuildingDebt Service - Principle & Interest		\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
TOTAL SERVICES & SUPPLIES		\$ 1,086,300	\$ 1,086,300	\$ 1,088,800	\$ 1,088,800	\$ 1,088,800	\$ -

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Transportation Travel & Training

	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	FY 2020-21 Amendment #3	FY 2020-21 Amendment #4	+/- Change
In and Out of State Travel	\$ 85,000	\$ 85,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ -
Training	\$ 33,000	\$ 33,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
Rideshare Incentive	\$ 3,000	\$ 3,000	\$ 1,000	\$ 1,000	\$ 1,000	\$ -
Transportation & Travel - Subtotal	\$ 121,000	\$ 121,000	\$ 66,000	\$ 66,000	\$ 66,000	\$ -

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PROFESSIONAL SERVICES

Work Element No./Project Description	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	FY 2020-21 Amendment #3	FY 2020-21 Amendment #4	+/- Change
601.01--Regional Transportation Plan	\$ 300,000	\$ 300,000	\$ 471,883	\$ 471,883	\$ 471,883	\$ -
601.011--Regional Transportation Plan (AHSC)	\$ 32,000	\$ 32,000	\$ 32,000	\$ 32,000	\$ 32,000	\$ -
601.012--SCS Implementation FY 17/18 SB1 grant	\$ 135,494	\$ 135,494	\$ -	\$ -	\$ -	\$ -
601.013--SCS Implementation FY 18/19 SB1 grant	\$ 126,828	\$ 126,828	\$ 126,828	\$ 126,828	\$ 126,828	\$ -
601.014--SCS Implementation FY 19/20 SB1 grant	\$ 125,000	\$ 175,000	\$ 175,000	\$ 175,000	\$ 175,000	\$ -
601.015--19/20 Caltrans Adaptation Planning grant SB1	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
601.016--SCS Implementation FY 20/21 SB1 grant	\$ -	\$ -	\$ 325,000	\$ 325,000	\$ 325,000	\$ -
601.01--SCS Implementation FY 20/21 SB1 grant	\$ -	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
601.02--Regional Planning Studies	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ -
602.01--RTIP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
603.01--Road & Street Monitoring	\$ 900,000	\$ 900,000	\$ 900,000	\$ 900,000	\$ 803,379	\$ (96,621)
603.015--I-205 Managed Lanes Widening Project	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ -
603.02--Transit Coordination	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
603.03--Transportation Air Quality	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
603.04--Goods Movement	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
701.01--Technical Assistance	\$ -	\$ -	\$ -	\$ 80,750	\$ 97,350	\$ 16,600
801.01--Intergovernmental Coordination	\$ 130,000	\$ 130,000	\$ 130,000	\$ 130,000	\$ 130,000	\$ -
801.012--Intergovernmental Coordination (St. Pln. & Reas)	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.02--Projections & Forecasts	\$ 80,000	\$ 80,000	\$ 140,000	\$ 140,000	\$ 140,000	\$ -
801.03--Airport Land Use Commission	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 41,000	\$ 31,000
801.04--Congestion Management	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.05--Regional Planning	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
801.06--Valley MPO Coordination	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ 45,000	\$ -
801.09--SJCOG Inc	\$ 85,000	\$ 85,000	\$ 85,000	\$ 85,000	\$ 85,000	\$ -
901.01--Measure K	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
901.02--RTIF	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
901.03--Smart Growth	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
1001.02--TDA Administration	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ -
1001.03--Community Involvement	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ -
1001.04--FAST ACT Management	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1101.01--Transportation Demand Management	\$ 575,000	\$ 607,291	\$ 607,291	\$ 1,324,248	\$ 1,324,248	\$ -
1101.03--Transportation Demand Management	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1201.01--Freeway Service Patrol	\$ 1,720,027	\$ 1,720,027	\$ 1,720,027	\$ 1,720,027	\$ 1,720,027	\$ -
1201.015--Freeway Service Patrol (SB1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1201.03 - SJCOG Interns	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1301.01 - Performance Based Planning and Programming	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1350.01 - SJV Regional Early Action Planning Committee for Housing (REAP)	\$ -	\$ -	\$ -	\$ 845,097	\$ 845,097	\$ -
1375.01 - Sustainable Transportation Equity Project (STEP)	\$ -	\$ -	\$ -	\$ 1,462,331	\$ 1,462,331	\$ -
Indirect	\$ 494,463	\$ 494,463	\$ 494,463	\$ 494,463	\$ 494,463	\$ -
TOTAL	\$ 7,648,812	\$ 7,931,103	\$ 8,352,492	\$ 11,457,627	\$ 11,408,606	\$ (49,021)

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FIXED ASSETS

<i>Title</i>	<i>Description</i>	FY 2020-21	FY 2020-21	FY 2020-21	FY 2020-21	FY 2020-21	+/-
		Adopted 4/16/20	Amendment #1	Amendment #2	Amendment #3	Amendment #4	
	Office Furniture/Equipment	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
	Replacement Printers (2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Server Upgrade	\$ 20,500	\$ 20,500	\$ 20,500	\$ 20,500	\$ 20,500	\$ -
	ERP Accounting System	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Customer Relation Management	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000	\$ -
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Capitalized Building Maintenance/Upgrades	\$ 120,000	\$ 120,000	\$ 120,000	\$ 120,000	\$ 120,000	\$ -
TOTAL		\$ 195,500	\$ 195,500	\$ 195,500	\$ 195,500	\$ 195,500	\$ -